

Lecture 21: Propositional Resolution

- Last time
 - Satisfiability as a search problem; Conjunctive Normal Form; DPLL algorithm

- Today
 - Propositional resolution
 - Characterisation
 - Algorithm
 - Automated reasoning
 - Recap of first-order logic

- Learning outcomes covered today:

Distinguish the characteristics, and advantages and disadvantages, of the major knowledge representation paradigms that have been used in AI, such as production rules, semantic networks, propositional logic and first-order logic;

Solve simple knowledge-based problems using the AI representations studied;

1

2

Resolution

- Computer methods are needed to deal with huge knowledge bases
- Enumeration of models is not feasible in propositional logic
- Natural deduction contains too many rules; hard to implement search

- Resolution is a proof method for classical propositional and first-order logic; requires formulae to be in CNF
- Given a formula φ resolution will decide whether the formula is *unsatisfiable or not*
- Resolution was suggested by John Robinson in the 1960s and he claimed it to be *machine oriented* as it had only one rule of inference

3

Validity, Satisfiability and Entailment

- Implications for Knowledge Representation
- *Deduction Theorem*:
 $KB \models \alpha$ if and only if $(KB \Rightarrow \alpha)$ is valid
- Or, . . .
 $KB \models \alpha$ if and only if $(KB \wedge \neg\alpha)$ is unsatisfiable
reductio ad absurdum

- For propositional, predicate and many other logics

4

Resolution

The method involves:

- Translation to a normal form (CNF)
- At each step, a new clause is derived from two clauses you already have
- Proof steps all use the same rule
 - resolution rule
- Repeat until false is derived (i.e. the formula contains a literal **and** its negation) or no new formulae can be derived
- We first introduce the method for propositional logic and then (next lecture) extend it to first-order predicate logic

5

Resolution Rule

- Each A_i is known as a *clause* and we consider the set of clauses $\{A_1, A_2 \dots A_k\}$

- The (propositional) resolution rule is as follows

$$\begin{array}{r} A \vee p \\ \underline{B \vee \neg p} \\ A \vee B \end{array}$$

- $A \vee B$ is called the *resolvent*
- $A \vee p$ and $B \vee \neg p$ are called *parents of the resolvent*
- p and $\neg p$ are called *complementary literals*
- Note in the above A or B can be empty

6

Resolution applied to sets of clauses

- Show by resolution that the following set of clauses is unsatisfiable

$$\{p \vee q, p \vee \neg q, \neg p \vee q, \neg p \vee \neg q\}$$

1. $p \vee q$
2. $p \vee \neg q$
3. $\neg p \vee q$
4. $\neg p \vee \neg q$
5. p [1, 2]
6. $\neg p$ [3, 4]
7. **false** [5, 6]

7

Exercise

8

Resolution Algorithm

- Proof by contradiction, i.e. show that $KB \wedge \neg\alpha$ is unsatisfiable

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function PL-Resolution( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
          $\alpha$ , the query, a sentence in propositional logic
  clauses  $\leftarrow$  the set of clauses in the CNF representation of  $KB \wedge \neg\alpha$ 
  new  $\leftarrow \{\}$ 
  loop do
    for each pair of clauses  $C_i, C_j$  in clauses do
      resolvents  $\leftarrow$  PL-Resolve( $C_i, C_j$ )
      if resolvents contains the empty clause then return true
    new  $\leftarrow$  new  $\cup$  resolvents
  if new  $\subseteq$  clauses then return false
  clauses  $\leftarrow$  clauses  $\cup$  new
  
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9

1) Transformation to CNF

$$\begin{aligned}
 & ((q \wedge p) \Rightarrow r) \wedge \neg(\neg p \vee \neg q \vee r) \\
 \equiv & (\neg(q \wedge p) \vee r) \wedge \neg(\neg p \vee \neg q \vee r) \\
 \equiv & ((\neg q \vee \neg p) \vee r) \wedge \neg(\neg p \vee \neg q \vee r) \\
 \equiv & (\neg q \vee \neg p \vee r) \wedge (\neg\neg p \wedge \neg\neg q \wedge \neg r) \\
 \equiv & (\neg q \vee \neg p \vee r) \wedge (p \wedge q \wedge \neg r) \\
 \equiv & (\neg q \vee \neg p \vee r) \wedge p \wedge q \wedge \neg r
 \end{aligned}$$

11

Full Circle Example

- Using resolution show

$$((q \wedge p) \Rightarrow r) \not\models (\neg p \vee \neg q \vee r)$$

- show that

$$((q \wedge p) \Rightarrow r) \wedge \neg(\neg p \vee \neg q \vee r)$$

- is unsatisfiable

- Translate to CNF

- Apply the resolution algorithm

10

2) Resolution

$$1. \neg q \vee \neg p \vee r$$

$$2. p$$

$$3. q$$

$$4. \neg r$$

- Finally, apply the resolution rule.

$$5. \neg q \vee r \quad [1, 2]$$

$$6. r \quad [5, 3]$$

$$7. \mathbf{false} \quad [4, 6]$$

12

Points to note

- As we have derived false, that means the formula was unsatisfiable
- This means that the hypothesis α was a consequence of the KB
- Note, if we couldn't obtain false, that means the formula was satisfiable
- Resolution restricts the P so it is a proposition, i.e.

$$\frac{A \Rightarrow p \quad p \Rightarrow B}{A \Rightarrow B}$$

- Given a set of clauses $A_1 \wedge A_2 \dots \wedge A_k$ to which we apply the resolution rule, if we derive **false** we have obtained $A_1 \wedge \dots \wedge \mathbf{false}$ which is equivalent to **false**. Thus the set of clauses is unsatisfiable

13

Theoretical Issues

- Resolution is *refutation complete*. That is, if given an unsatisfiable set of clauses the procedure is guaranteed to produce **false**
- Resolution is *sound*. That is, if we derive **false** from a set of clauses then the set of clauses is unsatisfiable
- The resolution method *terminates*. That is, we apply the resolution rule until we derive false or no new clauses can be derived, and it will always stop

14

Reducing the Search Space (I)

- Although the basic resolution method is complete, it is not very efficient. This is due to the search space that has to be explored
- A lot of effort has been applied in trying to reduce the search space
 - The elimination of tautologies (e.g. clauses such as $p \vee q \vee \neg q$)
 - Subsumption (if a clause set contains the clauses p and $p \vee q$, $p \vee q$ may be discarded); removes useless or redundant rules.

15

Reducing the Search Space (II)

- Some forms of resolution restrict which clauses may be resolved together e.g. *unit resolution* (always resolve using at least one unit clause) or *set of support* (after the first step, use at most one original clause)
- Heuristics may be applied to guide the proof search e.g. *weighting strategies*
- Applying strategies such as set of support or heuristics may affect completeness

16

Automated Reasoning

- The resolution proof method may be automated, i.e. carried out by a computer program
- Theorem provers based on resolution have been developed e.g. Otter, SPASS
- The topic of automated reasoning lies within the area of AI
- Prolog also uses resolution, but only for a subset of FOL: Horn Clauses
 - At most, one positive literal in any clause.
 - $p :- q, r$ is equivalent to $p \vee \neg q \vee \neg r$
 - This greatly improves efficiency, making Prolog usable as a programming language.

17

Resolution in Prolog

- (1) $p :- q, r$. i.e. $p \vee \neg q \vee \neg r$
- (2) $q :- t$. i.e. $q \vee \neg t$
- (3) $r :- u$. i.e. $r \vee \neg u$
- (4) t . (5) u .

To show (6) p first add $\neg p$. Use unit clause and set of support.

Resolve (6) and (1) to get (7) $\neg q \vee \neg r$

Resolve (7) and (2) to get (8) $\neg t \vee \neg r$

Resolve (4) and (8) to get (9) $\neg r$

Resolve (9) and (3) to get (10) $\neg u$

Resolve (10) and (5) to get empty clause.

$\neg p$ is unsatisfiable and hence p is true.

18

Pros and Cons of Propositional Logic

- Propositional logic is *declarative*
- Propositional logic allows partial/disjunctive/negated information (unlike most data structures and databases)
- Propositional logic is *compositional*
- Meaning in propositional logic is *context-independent* (unlike natural language, where meaning depends on context)
- Propositional logic has very limited expressive power (unlike natural language)

19

Example

- Consider

$$\frac{\begin{array}{l} \text{Kitty is a cat} \\ \text{cats are mammals} \end{array}}{\text{Kitty is a mammal}}$$

- In propositional logic this would be represented as

$$\frac{c, m}{k}$$

- This derivation is not valid in propositional logic. If it were then from any c and m could derive any k . We need to capture the connection between c and m .

- To do this, we will use *first-order (or predicate) logic*.

20

Recap of First-Order Logic

- Whereas propositional logic assumes the world contains *facts*, first-order logic (like natural language) assumes the world contains
 - **Objects** (people, houses, numbers, colours...); **Relations** (part of, after, prime, brother of, ...); **Functions** (best friend, one more than, end of ...)
- Examples:
course_lecturer(John, COMP219)
male(John)
<(3, 4)
<(4, plustwo(1))
mammal(Kitty)
 - John, Kitty, COMP219, 3, 4 and 1 are *constants*.
 - course_lecturer, male, mammal, and < are *predicates*.
 - male, mammal have *arity* one and the other predicates have arity two.
 - Plustwo is a *function* (that refers to other objects), e.g. plustwo(1) refers to the constant 3

21

Quantifiers

- Quantifiers allow us to express properties about collections of objects
- The quantifiers are
 - \forall universal quantifier 'For all ...'
 - \exists existential quantifier 'There exists ...'
- If $P(x)$ is a predicate then we can write
 - $\forall x \cdot P(x)$; and
 - $\exists x \cdot P(x)$;where x is a *variable* which can stand for any object in the domain

22

Interpretations

- We need a domain to which we are referring.
course_lecturer(John, COMP219)
- The name John is mapped to the object in the domain we are referring to (me)
- The name COMP219 is mapped to the object in the domain we are referring to (the course COMP219)
- The predicate name course_lecturer will be mapped to a set of pairs of objects where the first in the pair is the (real) person who teaches the second in the pair
- Hence the above evaluates to true

23

Syntax of Predicate Logic

- The formulas of predicate logic are constructed from the following symbols
 - a set PRED of predicate symbols with arity;
 - a set FUNC of function symbols with arity;
 - a set CONS of constant symbols;
 - a set VAR of variable symbols;
 - the quantifiers \forall and \exists ;
 - **true**, **false** and the connectives \wedge , \vee , \Rightarrow , \neg , \Leftrightarrow .
- Note propositions can be viewed as predicates with arity 0

24

Terms

- The set of terms, TERM, is constructed by the following rules
 - any constant is in TERM;
 - any variable is in TERM;
 - if t_1, \dots, t_n are in TERM and f is a function symbol of arity n then $f(t_1, \dots, t_n)$ is a term.
 - $f(x, y)$
 - $\text{add}(2, 4)$
 - $\text{mother_of}(\text{Katie})$

25

Exercise

Well-Formed Formulae

- The set of sentences or *well-formed formulae* of predicate logic are:
 - **true, false** and propositional formulae are in WFF.
 - if t_1, \dots, t_n are in TERM and p is a predicate symbol of arity n then $p(t_1, \dots, t_n)$ is in WFF.
 - If A and B are in WFF then so is $\neg A, A \vee B, A \wedge B, A \Rightarrow B$ and $A \Leftrightarrow B$.
 - If A is in WFF and x is a variable then $\forall x \cdot A$ and $\exists x \cdot A$ are in WFF.
 - If A is in WFF then so is (A) .

26

Domains and Interpretation

- Suppose we have a formula $\forall x \cdot P(x)$. What does x range over? *Physical objects, numbers, people, times, ...*?
- Depends on the **domain** that we intend. Often, we name a domain to make our intended interpretation clear
 - Suppose our intended interpretation is the positive integers. Suppose $>, +, \times, \dots$ have the usual mathematical interpretation.
 - Is this formula *satisfiable* under the above interpretation?
 $\exists n \cdot n = (n \times n)$
 - Now suppose that our domain is negative integers (where \times has the usual mathematical interpretation).
 - Is the formula satisfiable under this interpretation?

27

28

Summary

- We have described how to apply the proof method *resolution* in propositional logic
 - First, formulae need to be in conjunctive normal form
 - There is only one rule of inference
- We have had a brief recap of first-order logic
 - We have looked at its syntax but we haven't seen its formal semantics (see good AI and logic books)
 - Informally we've seen we need a domain of interest; constants, predicates, functions have mappings into this domain
 - To evaluate quantifiers we must check whether all objects in the domain satisfy the formula (\forall) or some object does (\exists)
- Next time
 - We will look at extending resolution to FOL