

## Lecture 22: First-Order Resolution

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### Decidability in Propositional Logic

- In propositional logic, we saw that some formulae were tautologies – true under all interpretations
- We also saw that there was a procedure which could be used to tell whether any formula was a tautology - this procedure was the truth table method
- A formula of FOL that is true under all interpretations is said to be *valid*
- So we could try to check for validity by writing down all the possible interpretations and looking to see whether the formula is true or not

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## Overview

- Last time
  - Overview of resolution in propositional logic; recap of first-order logic
- Today
  - Resolution in first-order logic
  - How knowledge representation and deduction can be carried out in first-order logic
  - The connection between Prolog, logic and resolution

- Learning outcomes covered today:

Distinguish the characteristics, and advantages and disadvantages, of the major knowledge representation paradigms that have been used in AI, such as production rules, semantic networks, propositional logic and first-order logic;

Solve simple knowledge-based problems using the AI representations studied;

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### First-Order Example

- Unfortunately in general we can't use this method
- Consider the formula:
$$\forall n \cdot \text{Even}(n) \Rightarrow \neg \text{Odd}(n)$$
and the domain Natural Numbers, i.e.  $\{1, 2, 3, 4, \dots\}$
- There are an infinite number of interpretations
- Is there any other procedure that we can use, that will be guaranteed to tell us, in a finite amount of time, whether a FOL formula is, or is not, valid?

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## Proof in FOL Decidable?

- The answer is *no*
- For this reason FOL is said to be *undecidable*
- FOL is often called *semi-decidable* since although there are procedures that will terminate for valid formulas, given a formula that is not valid, the procedures may not terminate

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## Recap: Resolution Method

The method involves:

- Translation to a normal form (CNF);
  - At each step, a new clause is derived from two clauses you already have;
  - Proof steps all use the same *resolution* rule;
  - Repeat until false is derived or no new formulas can be derived.
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- We will now consider how propositional resolution can be extended to first-order logic
  - Begin by translating to normal form...

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## Normal Form for Predicate Logic

- To write into normal form we must be able to deal with the removal of quantifiers (uses a technique known as Skolemisation)
- This is quite complex; we will just see some examples here

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## Dealing with Quantifiers

- Existential quantifiers

$\exists x \cdot b(x)$  is rewritten as  $b(a)$

- Informally *somebody is the burglar* - call this person *a*. *a* is a Skolem constant

- Note, any remaining variables are taken to be universally quantified

$\exists y \forall x \cdot p(x) \Rightarrow q(x, y)$

is rewritten as

$\neg p(x) \vee q(x, a)$

where *a* is a Skolem constant

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# Variable Free Resolution

- If a set of clauses contain no variables, resolution can be applied similarly to the propositional case

Example: show

$$\left. \begin{array}{l} \text{cat(Kitty)} \\ \text{cat(Kitty)} \Rightarrow \text{mammal(Kitty)} \end{array} \right\} \models \text{mammal(Kitty)}$$

i.e. show

$$\left( \begin{array}{l} \text{cat(Kitty)} \\ \wedge \\ (\text{cat(Kitty)} \Rightarrow \text{mammal(Kitty)}) \end{array} \right) \wedge \neg \text{mammal(Kitty)}$$

is unsatisfiable

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# To Normal Form

- In conjunctive normal form:

$$\begin{array}{l} \text{cat(Kitty)} \\ \neg \text{cat(Kitty)} \vee \text{mammal(Kitty)} \\ \neg \text{mammal(Kitty)} \end{array}$$

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# Resolution

- Applying the resolution rule

1.  $\text{cat(Kitty)}$  [given]
2.  $\neg \text{cat(Kitty)} \vee \text{mammal(Kitty)}$  [given]
3.  $\neg \text{mammal(Kitty)}$  [given]
4.  $\text{mammal(Kitty)}$  [1, 2]
5. **false** [3, 4]

- Thus  $\text{mammal(Kitty)}$  is a logical conclusion of  $\text{cat(Kitty)}$  and  $\text{cat(Kitty)} \Rightarrow \text{mammal(Kitty)}$

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# Resolution with Variables

- Show

$$\left. \begin{array}{l} \text{cat(Kitty)} \\ \forall x. \text{cat}(x) \Rightarrow \text{mammal}(x) \end{array} \right\} \models \text{mammal(Kitty)}$$

i.e. show the following is unsatisfiable

$$\left( \begin{array}{l} \text{cat(Kitty)} \\ \wedge \\ (\forall x. \text{cat}(x) \Rightarrow \text{mammal}(x)) \end{array} \right) \wedge \neg \text{mammal(Kitty)}$$

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## To Normal Form

- In conjunctive normal form:

$cat(Kitty)$   
 $\neg cat(x) \vee mammal(x)$   
 $\neg mammal(Kitty)$

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## Exercise

## Resolution

- Now to resolve

$cat(Kitty)$  and  $\neg cat(x) \vee mammal(x)$

we must look for a way to replace  $x$  in  $\neg cat(x)$  in clause 2 so that it matches with  $cat(Kitty)$  in clause 1

- We do this by applying the *substitution*  $\{x \mapsto Kitty\}$
- The process of generating these substitutions is known as *unification*. We substitute the **Most General Unifier**: i.e. make the fewest commitments needed to give a match
- Clause 2 becomes  $\neg cat(Kitty) \vee mammal(Kitty)$  and now the proof continues as before

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## Theoretical Considerations

- The transformation to normal form is satisfiability preserving. That is, if there is a model for  $A$  then there is a model for the transformation of  $A$  into CNF.
- **Soundness.** If **false** is derived from applying the resolution method to a set of clauses  $S$ , then  $S$  is unsatisfiable.
- **Completeness.** If  $S$  is an unsatisfiable set of clauses, then a contradiction can be derived by applying the resolution method.
- **Decidability.** As already mentioned, first-order logic is undecidable. Resolution is *semi-decidable*, i.e. given an unsatisfiable set of formulae it is guaranteed to derive false, however given a satisfiable set, it may never terminate.

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# Example of Non-Termination

- Assume we have the following pair of clauses derived from a formula that is satisfiable. We try to show them unsatisfiable (but they are in fact satisfiable).

1.  $q(y) \vee \neg q(g(y))$
2.  $\neg q(x) \vee \neg p(x)$

The proof continues as follows.

3.  $\neg q(g(x)) \vee \neg p(x)$  [1, 2, {y ↦ x}]
4.  $\neg q(g(g(x))) \vee \neg p(x)$  [1, 3, {y ↦ g(x)}]
5.  $\neg q(g(g(g(x)))) \vee \neg p(x)$  [1, 4, {y ↦ g(g(x))}]
- ...
- etc

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# In FO Logic

- We can write the above rules in first-order logic as follows (there are other ways)

- L1.  $\forall x \cdot \text{has\_hair}(x) \Rightarrow \text{mammal}(x)$
- L5.  $\forall x \cdot \text{eats}(x, \text{meat}) \Rightarrow \text{carnivore}(x)$
- L9.  $\forall x \cdot (\text{mammal}(x) \wedge \text{carnivore}(x) \wedge \text{colour}(x, \text{tawney}) \wedge \text{dark\_spots}(x)) \Rightarrow \text{cheetah}(x)$

- Similarly for the other rules we have seen previously

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# Rule Base Example

- R1: IF animal has hair  
THEN animal is a mammal
- R5: IF animal eats meat  
THEN animal is carnivore
- R9: IF animal is mammal  
AND animal is carnivore  
AND animal has tawney colour  
AND animal has dark spots  
THEN animal is cheetah

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# Working Memory

- Assume that we have the following information in working memory  
cyril has hair,  
cyril eats meat,  
cyril has tawney colour,  
cyril has dark spots

- This can be written in first-order logic as follows

- F1.  $\text{has\_hair}(\text{cyril})$
- F2.  $\text{eats}(\text{cyril}, \text{meat})$
- F3.  $\text{colour}(\text{cyril}, \text{tawney})$
- F4.  $\text{dark\_spots}(\text{cyril})$

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## Goal

- Assume we want to show that  
cyril is a cheetah
- This can be written in first-order logic as  
cheetah(cyril)

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## Reasoning

- To show that  
cheetah(cyril)  
follows from the above first-order formula we must show  
 $L1, L5, L9, F1, F2, F3, F4 \vdash \text{cheetah}(\text{cyril})$
- We show  
 $L1 \wedge L5 \wedge L9 \wedge F1 \wedge F2 \wedge F3 \wedge F4 \wedge \neg \text{cheetah}(\text{cyril})$   
is unsatisfiable. We abbreviate cyril to c

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## Proof

1.  $\neg \text{has\_hair}(x) \vee \text{mammal}(x)$
2.  $\neg \text{eats}(y, \text{meat}) \vee \text{carnivore}(y)$
3.  $\neg \text{mammal}(z) \vee \neg \text{carnivore}(z) \vee \neg \text{colour}(z, \text{tawney}) \vee \neg \text{dark\_spots}(z) \vee \text{cheetah}(z)$
4.  $\text{has\_hair}(c)$
5.  $\text{eats}(c, \text{meat})$
6.  $\text{colour}(c, \text{tawney})$
7.  $\text{dark\_spots}(c)$
8.  $\neg \text{cheetah}(c)$
9.  $\neg \text{mammal}(c) \vee \neg \text{carnivore}(c) \vee \neg \text{colour}(c, \text{tawney}) \vee \neg \text{dark\_spots}(c)$
10.  $\neg \text{mammal}(c) \vee \neg \text{carnivore}(c) \vee \neg \text{colour}(c, \text{tawney})$  [7,9]
11.  $\neg \text{mammal}(c) \vee \neg \text{carnivore}(c)$  [6,10]
12.  $\neg \text{mammal}(c) \vee \neg \text{eats}(c, \text{meat})$  [2,11, {y ↦ c}]
13.  $\neg \text{mammal}(c)$  [5,12]
14.  $\neg \text{has\_hair}(c)$  [1,13, {x ↦ c}]
15. **false** [4,14]

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## Exercise

# Search

- Deciding which clauses to resolve together to obtain a proof is similar to the search problems we looked at earlier in the module
- To show  $p$  follows from some database  $D$ , i.e.

$$D \models p$$

- we apply resolution to

$$D \wedge \neg p$$

- If we resolve first with clauses derived from  $\neg p$ , and then the newly derived clauses, we have a *backward chaining* system
- Remember that resolution can be refined, e.g. to restrict which clauses can be resolved, but such restrictions may affect completeness

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# In FO Logic

- Writing this in FOL we obtain the following:

$(\text{parent}(\text{cathy}, \text{ian}) \wedge$   
 $\text{parent}(\text{pete}, \text{ian}) \wedge$   
 $\text{female}(\text{cathy}) \wedge$   
 $\text{male}(\text{pete}) \wedge$   
 $\forall x \forall y. (\text{parent}(x, y) \wedge \text{female}(x)) \Rightarrow \text{mother}(x, y))$

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# Prolog and First-Order Logic

- Prolog programs are really first-order logic formulae where variables are assumed to be universally quantified
- Consider the Prolog family tree program studied earlier in the module:

```
parent(cathy, ian).  
parent(pete, ian).  
female(cathy).  
male(pete).  
mother(X, Y) :- parent(X, Y), female(X).
```

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# Facts, Rules and Queries

- Facts (e.g.  $\text{male}(\text{pete})$ ) in Prolog programs are atomic sentences in FOL
- Rules in Prolog programs such as  
 $p(X, Y, Z) :- q(X), r(Y, Z)$   
are universally quantified FOL formulae.  
 $\forall x, \forall y, \forall z. q(x) \wedge r(y, z) \Rightarrow p(x, y, z)$
- Queries in Prolog such as  $\text{mother}(\text{cathy}, \text{ian})$  are dealt with by testing whether  $\text{mother}(\text{cathy}, \text{ian})$  follows from the FOL formula representing facts and rules of the Prolog program

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# Horn Clauses

Here is our example written into clausal form

1. `parent(cathy, ian)`
  2. `parent(pete, ian)`
  3. `female(cathy)`
  4. `male(pete)`
  5.  $\neg\text{parent}(x, y) \vee \neg\text{female}(x) \vee \text{mother}(x, y)$
- Here the clauses 1-4 contain only one positive predicate and clause 5 contains two negative predicates and one positive
    - *Horn Clauses*
  - Dealing with Horn Clauses can be very efficient

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## Summary (I)

- We have looked at how first-order formulae can be transformed into a normal form to enable resolution to be applied
- We have seen how resolution can be applied in first-order logic and how Prolog uses resolution
- If a rule-based system is written in FOL we can use resolution to show whether a particular fact follows from the facts (in working memory) and the rule base
- Although resolution is sound and complete, it is **semi-decidable**, i.e. applying resolution to a satisfiable formula may lead to non-termination

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# Inference

- Prolog answers queries by using a special form of resolution known as **SLD resolution**
- That is, asking the query `mother(cathy, ian)` of the Prolog program given earlier is similar to applying resolution to the FOL formula of the program conjoined with  $\neg\text{mother}(cathy, ian)$
- Matching in Prolog corresponds to **unification** in resolution

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## Summary (II)

- Logic is useful for knowledge representation as it has clear syntax, well-defined semantics (we know what formulae mean), and proof methods e.g. resolution allowing us to show a formula is a logical consequence of others
- Prolog is known as a logic programming language. The language of Prolog is a restricted version of first-order logic (Horn Clauses) and inference is by a form of resolution
- This concludes our study of knowledge representation
- **Next time**
  - Planning in AI

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